



Please do not keep these fields blank

\*Preferably Indian Mobile Number

Bank particulars of first/sole applicant for payment of interest and principal on due date(s), only in case of the bonds held in physical mode)

Bank Name:										Account no.																	
										Account no. (Tick any One)		SB A/C				CURRENT A/C				CASH CREDIT A/C				NRO A/C			
IFSC										MICR Code																	

Applicant Id No.																			
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(Signature-First/Sole Applicant /Authorised Signatory)

(Signature-Second Applicant /Authorised Signatory)

(Signature-Third Applicant /Authorised Signatory)

**First/Sole Applicant's Permanent Address in Full(DO NOT FILL NAME AGAIN) Please fill in Block letters**

CITY*										PIN CODE *									
MOBILE *										EMAIL *									

**Nomination Form(Applicable in case of INDIVIDUALS,Applying for the bonds in Physical mode)**

(Ticket I or II , whichever applicable)

(I)  I/We wish to make nomination and do hereby nominate the following person(s) in whom shall vest. all the rights in respect of such physical securities in the event of my/our death.

Particulars of Nominee(s) (One Nominee per application)

(a) Name : \_\_\_\_\_ (b) Name of father/Mother/Spouse : \_\_\_\_\_

(c) Date of Birth of Nominee (DD/MMM/YYYY) \_\_\_\_\_ (d) Relationship of Nominee with Applicant: : \_\_\_\_\_

(e) Occupation of Nominee : \_\_\_\_\_ (f) Nationality of Nominee : \_\_\_\_\_ (g) E-mail Id of Nominee: \_\_\_\_\_

(h) Address of Nominee : \_\_\_\_\_

(i) Additional Details in case Nominee is a MINOR: \_\_\_\_\_

Date of Attaining Majority of Minor: \_\_\_\_\_ Name of Guardian : \_\_\_\_\_

Address of Guardian \_\_\_\_\_

(OR)

(II)  I/ We don't wish to nominate any person(s) in whom shall vest all the rights in respect of such bonds in the event of my/our death. Further, I/We understand that issues involved in non-appointment of nominees) and further are aware that in case of my/our death, my/our legal heir(s)/representative(s) are required to furnish the requisite documents/details. including, Will or documents issued by the Court like Decree or Succession Certificate or Letter of Administration/Probate of Will or any other document as may be prescribed by the competent authority, for claiming my/our aforesaid securities.

(Signature/First/Sole Applicant)

(Signature/Second Applicant)

(Signature/Third Applicant)

Witness :

Signature of Witness : \_\_\_\_\_

Full Name and Address of Witness : \_\_\_\_\_ & \_\_\_\_\_

\_\_\_\_\_



## INSTRUCTION FOR INVESTOR

1. Minimum investment - 1 Bonds of Rs. 500000 (Five lakh Rupees) each and maximum 50-bonds amounting to Rs 50 lakhs in financial year .
    - a) Investor are required to submit the duly filled in Application form along with necessary enclosures at authorised center.
    - b) For details of designated Authorized center are listed in website [www.alphatechnicaluniversity.ac.in](http://www.alphatechnicaluniversity.ac.in). also details information has been mentioned there.
    - c) Applicant should mention his name, address and application number on the reverse of the cheque / Demand Draft. Cash Transaction is also possible to get Donation slip also tax rebate slip.
    - d) Investor are advised in their own interest to fill up complete details of their bank particular along with a cancelled cheque. incase absence of such information the interest & redemption warrants shall be mailed at given address at applicant risk.
    - e) A copy of the information browser for the bond issue containing all the details of the issue is available ATU website
  2. Applicant once submitted cannot be withdrawn and subscription amount will not be refunded.
  3. The application should be submitted during office hours at any of the Authorised center given by alpha technical University. Atu assumes no responsibility for any application/cheques/demand drafts lost in mail or late /short credit of application money.
  4. In case the investor has applied for and not yet been allotted the PAN .then he is required to furnish a copy of the acknowledged form 49A and on subsequent allotment of PAN,
  5. Incase of application under the power of attorney or by limited companies or other corporate bodies, A certified copy of the power of attorney or copy of the approval of the relevant authority ,as the case may be should be submitted along with application form
  6. In case a Partnership firm makes investments, the application is required to be made in the name of the partnership firm and the application form can be signed any partner's authorised to do so in this behalf and affixing a rubber stamp of the firm.
  7. The applications would be accepted as per the provisions of the IM of the issue & other applicable rules and regulation. applicant are deemed to have read the IM and are supposed to be well versed with terms and condition of the offer. ATU is entitled, as it's sole and absolute discretion, to accept or reject any application, in part or in full, without assigning any reason. An application forms that is not complete all respects is liable to be rejected and would be returned by ATU to the applicant along with invested amount (if any) without any interest.
  8. His advisable that investor mentions his/her mobile number, email ID in the application form as well as keeps a photocopy of the set of application form.
  9. In case, where the investor applies for bonds under Physical Mode, all the Payment Warrants will be issued as per the details captured in the Register of Bond holders and any discrepancy arising due to wrong information furnished in the application form shall be at the applicant's sole risk. As a matter of precaution against possible fraudulent encashment of interest/redemption warrants due to loss/ misplacement, such applicants are requested to mention the full particulars of their bank account, as specified in the Application Form and also attach a cancelled cheque of the account mentioned.
  10. **Certain issue highlights:**
    - (I) Rate of interest on the bond shall be as a applicable on the date of credit of application money in ATU collection account. Change in interest rate, if any for application money credited in ATU collection account there after shall be published on website of Alpha Technical Education as well as of Registrar and Transfer agent. at present it is 8(eight percent fixed yearly).
    - (ii) Lock in period of 7 (seven) years (No transfer /premature redemption is permitted), The Bonds will automatically redeem after expiry of seven years.
  11. Interest/Redemption shall be paid by way of warrant /NECS/RICS-NEFT payable at par at all locations to the bond holders by ATU after maturity as per your willing you can withdraw your principal amount also directly to your bank.
- Note: This Bonds issue is being made strictly on a private placement basis .It is not and should not be deemed to constitute an offer to the public in general. It can not be accepted by any person other than to whom it is directed.

### ALL INVESTORS TO PROVIDE

- (1) Self-attented copy of PAN Card/ PAN Allotment Letter for all ctnets (Individuals/Non-individuals), including Prometers/ Partners/Karta Trustees/Whole time directors & persons authorized to deal in the Bonds on behalf of company/firm/others (in case of Joint application self attested PAN copy of all the applicants)
- (II) Cancelled Cheque leaf (having pre-printed name of the investor) for NEFT/RTGS/E-Payment facility Copy of bank account details (including Name, Account number, IFSC code, MICR code) verified by the bank
- (III) Other Document(s).

<p><b>FOR RESIDENT INDIAN NATIONALS</b> Officially Valid Documents in support of Name and Address as Proof of Address (PoA) and Proof of Identity (Any one copy attested by Gazetted officer/Notary/self attested in case of individual only:-Aadhar card,passport,Driving License,Voter ID Ration Card with applicant's photo and Address.</p>	<p><b>For NRIs/Other foreign eligible Investor</b> (copies attested by Gazetted officer/Notary Public/Self attested a) passport b) Driving License</p>	<p><b>For HUF</b> Documents in support(copies attested by Gazetted officer, Public. a) PAN Card/PAN allotment letter of HUF and of karta b) Deed of Declaration of Huf / List of coparceners c) Bank pass-book /Bank Statement in the name of HUF d) Any officially valid document to identify the karta and his address(Pol and PoA)</p>
<p><b>For Partnership Firms</b> Documents in support(copies attested by Notary Public) a) Certificate of Registration (for registered partnership firm only)Partnership Deed,Telephone Bill in the name of firm/partner(s) business owner Id proof also.</p>	<p><b>For Trust &amp; Foundations</b> Documents in support(copies attested by Notary Public) a) Certificate of Registration (for registered trust &amp; Foundations only)Trust Deed ,Power of Attorney granted to transact business on its behalf. to Identify the trustees,Sttlors, Beneficiaries and their addresses(pol and Poa).Resolution of trust regarding this</p>	<p><b>For Corporate</b> Documents in support(copies attested by Company Secretary) Certificate of Incorporation and memorandum &amp; article of association. Resolution of the Board of Directors for investment in bonds and for those who have authority to operate. PAN Card, any official valid identity card</p>